



Republic of the Philippines
Department of Transportation and Communications
MARITIME INDUSTRY AUTHORITY

Company Audit Report
ISM CODE CERTIFICATION

Date: _____

Company Name:	MARINA Accreditation No.:				
Company Address:	TIN:				
Company Branch Office Locations (if any):					
Company DOC No. <input type="checkbox"/> Interim <input type="checkbox"/> Full Term					
Date Issued: Issued by : Validity:					
Audit Request: <input type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Renewal <input type="checkbox"/> Additional					
Date of Audit:					
Type of ships included in the DOC:					
<input type="checkbox"/>	Passenger Ship	<input type="checkbox"/>	Chemical Tanker	<input type="checkbox"/>	Tugboat
<input type="checkbox"/>	Passenger – HSC	<input type="checkbox"/>	Gas Carrier	<input type="checkbox"/>	Fish Carrier in SIV
<input type="checkbox"/>	Cargo - HSC	<input type="checkbox"/>	MODU	<input type="checkbox"/>	Other Cargo Ships
<input type="checkbox"/>	Bulk Carrier	<input type="checkbox"/>	FPSO/FSU	<input type="checkbox"/>	
<input type="checkbox"/>	Oil Tanker	<input type="checkbox"/>	Submersible Craft	<input type="checkbox"/>	
For <u>Other Cargo Ship</u> , please specify:					
MARINA Audit Team:					
	<u>Name</u>		<u>Function</u>		
		
		
		
		
The Audit has been conducted in accordance with ISM Code (SOLAS Chapter IX).					
.....					
The Audit resulted in the following number of:					
	Major Non-Conformities			
	Non-Conformities			
	Observations			

Conclusion	Y=Yes, N=No N/A=Not Applicable	Comments/ Remarks
a) The Initial/Renewal Audit was completed without Non Conformities: b) Issuance of DOC is RECOMMENDED:..... c) The Initial/ Renewal Audit was completed with Non-conformities as enclosed:..... d) Issuance of DOC is RECOMMENDED, conditional upon the agreed schedule for corrective action being completed as indicated in the enclosed Non-Conformity notes:..... e) The Initial/Renewal Audit resulted in major Non-Conformities as enclosed:..... f) Issuance of DOC is NOT RECOMMENDED, but is pending corrective action by company and verification by MARINA through an Additional Audit:.....		
g) The Annual Audit was completed without Non Conformities:..... h) The DOC has been ENDORSED:..... i) The Annual Audit was completed with Non-Conformities as enclosed:... j) The DOC has been ENDORSED conditional upon the agreed schedule for corrective action being completed as indicated in the enclosed Non-Conformity notes:..... k) The Annual Audit resulted in major Non-Conformity as enclosed:..... l) The DOC has NOT been ENDORSED but is pending corrective action by Company and verification by MARINA through an Additional Audit:.....		
m) The Additional Audit has been completed:..... <input type="checkbox"/> Issuance of DOC is RECOMMENDED <input type="checkbox"/> DOC has been ENDORSED		
<p>Report Verification: Lead Auditor:..... Signature :</p> <p>Verified by: Company Rep.: Signature : Title:.....</p> <p>Audit Summary:</p> <p>The undersigned have carried out the above audit and found the Safety Management System is:</p> <p><input type="radio"/> in compliance with the requirements of the ISM Code. <input type="radio"/> not in compliance w/ the requirements of the ISM Code. <input type="radio"/> subject to the corrections of non-conformities mentioned in MARINA/ISM Audit Form 8.</p> <p style="text-align: right;">Lead Auditor: (Sign over Printed Name)</p>		
<p>Enclosure: <input type="checkbox"/> Audit Plan <input type="checkbox"/> Major Non-Comformities <input type="checkbox"/> Non-Conformities <input type="checkbox"/> Observations <input type="checkbox"/> Additional Remarks/Comments</p> <p>Distribution: <input type="checkbox"/> Original Copy to Records Section <input type="checkbox"/> Copy to Company <input type="checkbox"/> Copy to MRO</p> <p>Definitions: Major Non-Conformity An identifiable deviation which poses a serious threat to personal or ship safety or a serious risk to the environment that requires immediate corrective action: or the lack of effective and systematic plementation of a requirement of this Code. Non-Conformity An observed situation were objective evidence indicates the Non-fulfillment of a specific requirement. Observation A statement of fact made during a safety management audit and substantiated by objective evidence.</p> <p>NOTE: The audit is based upon a sampling process. When no Non-conformities have been reported, it does not mean that none exist.</p>		