

LIST OF REPORTING REQUIREMENTS IN MANDATORY IMO INSTRUMENTS

REFERENCE	ARTICLES OR REGULATIONS	WHAT WILL BE REPORTED	IMO CIRCULAR SERIES	WHO WILL REPORT (OSS-MARINA will report to IMO)	GISIS
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(Non-exhaustive list)

SOLAS					
SOLAS 74	Art. III(a)	A list of non-governmental agencies which are authorized to act in their behalf in the administration of measures for safety of life at sea	SLS.15/Circ.	MSS, MARINA	Recognized Organizations (ROs) Module
	Art. III(b)	The text of laws, decrees, orders and regulations	SLS.18/Circ.	MSS will provide the updates to OSS	
	Art. III(c)	A sufficient number of specimens of Certificates issued	SLS.16/Circ.	Maritime Registry Division (MRD), OSS	
	Art. V(c)	Carriage of persons in emergencies – notice of any such permission, together with a statement of the circumstances		MSS, MARINA	
	Art. VII	Special rules drawn up by agreement between all or some of the Contracting Governments	SLS.19/Circ.	MSS, MARINA	
	Art. VIII(f)	Any declaration of acceptance of, or objection to, an amendment	SLS.12/Circ.	MSS, MARINA	
	Art. IX(b)	Ratification, acceptance, approval or accession to the Convention	SLS.12/Circ.	OSS, MARINA	
	Art. XI(b)	Denunciation to the Convention	SLS.12/Circ.	OSS, MARINA	
	Reg. I/4(b)	Exemptions and the reasons therefore	SLS.14/Circ.	MSS, MARINA	
	Reg. I/5(b)	Equivalentents – particulars thereof together with a report on any trials	SLS.14/Circ.	MSS, MARINA	

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	Reg. I/6(b)	the specific responsibilities and conditions of the authority delegated to nominated surveyors or recognized organizations	SLS.15/Circ.	MRD-OSS, MARINA	Recognized Organizations (ROs) Module
	Reg. I/19(d)	Port State control – the facts concerning the intervention		PCG will provide report to MARINA.	
	Reg. 1/21(b)	Pertinent information concerning the findings of casualty investigations		ES, MARINA	Marine Casualties and Incidents Module
	Reg. II-1/55.5	Pertinent information concerning alternative design and arrangements	SLS.14/Circ.	MSS, MARINA	
	Reg. II-2/17.5	Pertinent information concerning alternative design and arrangements	SLS.14/Circ.	MSS, MARINA	
	Reg. III/20.8.5	Information regarding extension of liferaft service intervals for new and novel inflatable liferaft	SLS.14/Circ.	MSS, MARINA	
	Reg. III/38.5	Pertinent information concerning alternative design and arrangements	SLS.14/Circ.	MSS, MARINA	
	Reg. IV/3.3	report, as soon as possible after the first of January in each year, showing all exemptions granted under paragraphs 1 and 2 of regulation IV/3 during the previous calendar year and giving the reasons for granting such exemptions	SLS.14/Circ.	MSS, MARINA	
	Reg. IV/5.2	Pertinent information concerning the shore-based facilities in the Maritime Mobile Service, Mobile-Satellite Service and Maritime Mobile-Satellite Service, established for sea areas which it has	GMDSS.1/Circ.	National Tele-communicati	

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		designated off its coasts		ons Commission	
	Reg. V/3.3	A report, as soon as possible after 1 January in each year, summarizing all new exemptions and equivalents granted under paragraph 2 of regulation V/3 during the previous calendar year and giving the reasons for granting such exemptions and equivalents	SLS.14/Circ.	MSS, MARINA	
	Reg. V/7.2	Information concerning its existing search and rescue facilities and the plans for changes therein	SAR.8/Circ.	PCG	
	Reg. V/10.2	Proposals for the adoption of ships' routing systems	COLREG.1/Circ. and SN/Circ.	SRS, MARINA	
	Reg. V/11.2	Proposals for the adoption of ship reporting systems	SN/Circ. and SN.1/Circ.	SRS, MARINA	
	Reg. V/19-1.8.2	Relevant details to enable long-range identification and tracking information to be made available		CG 11, PCG	Web interface DDP Server – Summary (MSC 87/INF.21)
	Reg. V/19-1.9.2	The decision on LRIT information not provided		CG 11, PCG	Web interface DDP server
	Reg. XI-2/9.3.1	Information imposing the control measures or steps, as referred to in the regulation			
	Reg. XI-2/12.1	Particulars concerning equivalent security arrangements for ships		Office for	Maritime

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	and 13.6			Transportation Security (OTS)	security module
	Reg. XI-2/12.2 and 13.6	Particulars concerning equivalent security arrangements for port facilities		OTS	Maritime security module
	Reg. XI-2/13.1	The names and contact details of national authority or authorities responsible for ship and port facility security; the locations within territory covered by the approved port facility security plans; the names and contact details of those who have been designated to be available at all times to receive and act upon the ship-to-shore security alerts; the names and contact details of those who have been designated to be available at all times to receive and act upon any communications from Contracting Governments exercising control and compliance measures; the names and contact details of those who have been designated to be available at all times to provide advice or assistance to ships and to whom ships can report any security concerns and thereafter any changes		OTS	Maritime security module
	Reg. XI-2/13.2	The names and contact details of any recognized security organizations authorized to act on their behalf together with details of the specific responsibility and conditions of authority delegated to such organizations and thereafter any Changes		OTS	Maritime security module
	Reg. XI-2/13.3 and 13.4	A list, not later than 1 July 2004, showing the approved port facility security plans for the port facilities located within their territory together with the location or locations covered by each approved port facility security plan and the corresponding date of approval and thereafter a revised and updated list at five-year		OTS	Maritime security module

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		intervals after 1 July 2004			
	Reg. XI-2/13.5	Information regarding bilateral or multilateral agreement on alternative security arrangements, including the names of the Contracting Governments which have concluded the agreement; the port facilities and the fixed routes covered by the agreement; the periodicity of review of the agreement; the date of entry into force of the agreement; and information on any consultations which have taken place with other Contracting Governments and thereafter when the agreement has been amended or has ended		OTS	Maritime security module
Protocol 1978	Art. III	A list of nominated surveyors or recognized organizations which are authorized to act on their behalf in the administration of measures for safety of life at sea and the specific responsibilities and conditions of the authority delegated to the nominated surveyors or recognized organizations	PSLS.3/Circ.	MSS, MARINA	
	Art. IV(2)	Ratification, acceptance, approval or accession to the Protocol	PSLS/Circ. and PSLs.1/Circ.	MSS, MARINA	
	Art. VI	Denunciation to the Protocol	PSLS/Circ. and PSLs.1/Circ.	MSS, MARINA	
Protocol 1988	Art. III(a)	The text of laws, decrees, orders and regulations and other instruments which have been promulgated on the various matters within the scope of the Protocol		MSS, MARINA will provide updates to OSS	
	Art. III(b)	A list of nominated surveyors or recognized organizations which are authorized to act on their behalf in the administration of		MSS, MARINA	Recognized Organizatio

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		measures for safety of life at sea and the specific responsibilities and conditions of the authority delegated to the nominated surveyors or recognized organizations			ns (ROs) Module
	Art. III(c)	A sufficient number of specimens of Certificates issued under the Protocol		MSS, MARINA	
	Art. IV(2)	Ratification, acceptance, approval or accession to the Protocol	PSLS.6/Circ.	MSS, MARINA	
	Art. VI	Any declaration of acceptance of, or objection to, an amendment to the Protocol	PSLS.7/Circ.	MSS, MARINA	
	Art. VII(2)	Denunciation to the Protocol	PSLS.6/Circ.	MSS, MARINA	
Fire Tests Procedure Code	Para. 7.2	Use of equivalents and modern technology, including, in the case of new and unconventional products, a written analysis as to why the existing test method(s) cannot be used to test this specific product; a written analysis showing how the proposed alternative test procedure will prove performance as required by the Convention; and a written analysis comparing the proposed alternative test procedure to the required procedure in the Code	SLS.14/Circ.	MSS, MARINA	
International Maritime Dangerous Goods Code	Para. 7.9.1.2	A copy of such exemption	SLS.14/Circ.	MSS, MARINA	
	Para. 7.9.3	Updated Contact information for the main designated national competent authorities concerned	IMDG Code, para.7.9.3	MSS, MARINA	
International Maritime Solid Bulk Code	Para. 1.3.2	Cargoes not listed in the Code – an application, within one year from the issue of the certificate stating the characteristics of the cargo and the required conditions for carriage and handling of the shipment	SLS.14/Circ.	MSS, MARINA	
	Para. 1.5.2.1	A copy of such exemption	SLS.14/Circ.	MSS, MARINA	

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International Bulk Cargo Code	Para. 1.1.6	Preliminary suitable condition for the carriage of products not listed in chapter 17 or 18	MEPC.2/Circ.	MSS, MARINA	
	Para. 1.4.2	Equivalents – the particulars thereof, together with a report on the evidence	SLS.14/Circ. and MEPC.1/Circ.	MSS, MARINA	
International Code of the Construction and Equipment of Ships Carrying Liquefied Gases in Bulk (IGC) Code	Para. 1.1.6	Preliminary suitable conditions for the carriage of products not at present designated in chapter 19		MSS, MARINA	
	Para. 1.4.2	Equivalents – the particulars thereof, together with a report on the evidence	SLS.14/Circ.	MSS, MARINA	
1994 High Speed Craft Code	Para. 1.11.2	Equivalents – the particulars of these substitutions and the reasons therefore	SLS.14/Circ.	MSS, MARINA	
	Para. 1.14.1	A copy of the official accident investigation report		ES, MARINA	Marine Casualties and Incidents Module
	Para. 8.9.1.3 and 8.9.12	arrangements – Extension of liferaft service intervals	SLS.14/Circ.	MSS, MARINA	
	Para. 14.3.3	A report, as soon as possible after the first of January in each year, showing all exemptions granted under 14.3.1 and 14.3.2 during the previous calendar year and giving the reasons for granting such exemptions	SLS.14/Circ.	MSS, MARINA	

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2000 High Speed Craft Code	Para. 1.11.2	Equivalentents – the particulars thereof, together with a report on the evidence	SLS.14/Circ.	MSS, MARINA	
	Para. 1.14.1	A copy of the official accident investigation report		ES, MARINA	Marine Casualties and Incidents Module
	Para. 8.9.11	Novel life-saving appliance or arrangements – Extension of liferaft service intervals	SLS.14/Circ.	MSS, MARINA	
	Para. 14.3.3	A report, as soon as possible after the first of January in each year, showing all exemptions granted under 14.3.1 and 14.3.2 during the previous calendar year and giving the reasons for granting such exemptions	SLS.14/Circ.	MARINA, MSS	
Casualty Investigation Code	Para. 4.1	Detailed contact information of the marine safety investigation Authority(ies) carrying out marine safety investigations within their State		ES, MARINA	Contact Points Module
	Para. 1.14.1	The final version of a marine safety investigation report for every marine safety investigation conducted into a very serious marine casualty		ES, MARINA	Marine Casualties and Incidents Module
	Para. 1.14.2	The final version of a marine safety investigation report, other than a very serious marine casualty, containing information which may prevent or lessen the seriousness of marine casualties or marine incidents in the future		ES, MARINA	Marine Casualties and Incidents Module
LOAD LINES					
Load Lines	Art. 6(3)	Exemptions – particulars and reasons therefore	LL.3/Circ.	SRS,	

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1966				MARINA	
	Art. 8(2)	Equivalentents – particulars thereof, together with a report on any trials made	LL.3/Circ.	SRS, MARINA	
	Art. 9(2)	Approvals for experimental purposes – particulars thereof	LL.3/Circ.	SRS, MARINA	
	Art. 23(2)	The pertinent information concerning the findings of such casualty investigations			Marine Casualties and Incidents Module
	Art. 25	Special rules are drawn up by agreement among all or some of the Contracting Governments	LL.3/Circ.	SRS, MARINA	
	Art. 26(1)(a)	A sufficient number of specimens of their certificates issued under the provisions of the present Convention for circulation to the Contracting Governments	LL.2/Circ.	SRS, MARINA	
	Art. 26(1)(b)	The text of the laws, decrees, orders, regulations and other instruments which shall have been promulgated on the various matters within the scope of the present Convention	LL.5/Circ.	SRS, MARINA OSS, MARINA	
	Art. 26(1)(c)	A list of non-governmental agencies which are authorized to act in their behalf in the administration of load line matters	LL.2/Circ.	SRS, MARINA	Recognized Organizations (ROs) Module
	Art. 27(2)	Acceptance or accession to the Convention	LL/Circ. and LL.1/Circ.	OSS, MARINA	
	Art. 29(7)	Acceptance or declaration to the amendments	LL/Circ. and LL.1/Circ.	OSS, MARINA	

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	Art. 30(2)	Denunciation to the Convention	LL/Circ. and LL.1/Circ.	OSS, MARINA	
	Art. 31(1)	Suspension to the operation of the whole or any part of the present Convention	LL/Circ. and LL.1/Circ.	OSS, MARINA	
Protocol 1988	Art. III(a)	The text of laws, decrees, orders and regulations and other instruments which have been promulgated on the various matters within the scope of the Protocol	LL.5/Circ.	SRS, MARINA	
	Art. III(b)	A list of nominated surveyors or recognized organizations which are authorized to act on their behalf in the administration of measures for safety of life at sea and the specific responsibilities and conditions of the authority delegated to the nominated surveyors or recognized organizations	LL.2/Circ.	SRS, MARINA	Recognized Organizations (ROs) Module
	Art. III(c)	A sufficient number of specimens of Certificates issued under the Protocol	LL.2/Circ.	SRS, MARINA	
	Art. IV(2)	Ratification, acceptance, approval or accession to the Protocol	LL.10/Circ.	SRS, MARINA	
	Art. VI(6)	Any declaration of acceptance of, or objection to, an amendment to the Protocol	LL.10/Circ.	SRS, MARINA	
	Art. VII(2)	Denunciation to the Protocol	LL.10/Circ.	SRS, MARINA	
TONNAGE 1969					
TONNAGE 1969	Art. 15(a)	A sufficient number of specimens of their certificates issued under the provisions of the present Convention for circulation to the Contracting Governments	TM.4/Circ.	SRS, MARINA	
	Art. 15(b)	The text of the laws, orders, decrees, regulations and other instruments which shall have been promulgated on the various matters within the scope of the present Convention	TM.6/Circ.	SRS, MARINA	

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	Art. 15(c)	A list of non-governmental agencies which are authorized to act in their behalf in matters relating to tonnages for circulation to the Contracting Governments	TM.3/Circ.	SRS, MARINA	Recognized Organizations (ROs) Module
	Art. 16(2)	Acceptance or accession to the Convention	TM.2/Circ.	SRS, MARINA	
	Art. 18(6)	Acceptance or declaration to the amendments	TM.2/Circ.	SRS, MARINA	
	Art. 19(2)	Denunciation to the Convention	TM.2/Circ.	SRS, MARINA	
Annex 1	Reg. 1(3)	Novel types of craft – details of the method used for that purpose	TM/Circ. and TM.1/Circ.	SRS, MARINA	
COLREG 1972					
COLREG 1972	Art. II(3)	Ratification, acceptance, approval or accession to the Convention	COLREG/Circ. and COLREG.1/Circ.	MSS, MARINA	
	Art. VI(4)	Objection to the amendments	COLREG/Circ. and COLREG.1/Circ.	MSS, MARINA	
	Art. VII(2)	Denunciation to the Convention	COLREG/Circ. and COLREG.1/Circ.	MSS, MARINA	

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MARPOL					
MARPOL 1973	Art. 4(3)	Action taken for the violation	Summary reports to FSI	MSS, MARINA	
	Art. 6(4)	Action taken for the alleged violation	Summary reports to FSI	MSS, MARINA	
	Art. 8(2)(b) and (4)	Report on incidents involving harmful Substance		ES, MARINA	Marine Casualties and Incidents Module
	Art. 11(1)(a)	The text of laws, orders, decrees and regulations and other instruments which have been promulgated on the various matters within the scope of the present Convention	PMP.4/Circ.	MSS, MARINA	
	Art. 11(1)(b)	A list of non-governmental agencies which are authorized to act on their behalf in matters relating to the design, construction and equipment of ships carrying harmful substances in accordance with the provisions of the Regulations	PMP.2/Circ.	OSS, MARINA	Recognized Organizations (ROs) Module
	Art. 11(1)(c)	A sufficient number of specimens of their certificates issued under the provisions of the Regulations	PMP.3/Circ.	MSS, MARINA	
	Art. 11(1)(d)	A list of reception facilities including their location, capacity and available facilities and other characteristics		PPA	Port Reception Facilities Module
	Art. 11(1)(e)	Official reports or summaries of official reports in so far as they show the results of the application of the present	PMP.8/Circ.	PPA	

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		Convention			
	Art. 11(1)(f)	An annual statistical report, in a form standardized by the Organization, of penalties actually imposed for infringement of the present Convention	Summary reports to FSI	MSS, MARINA	
	Art. 12(2)	Findings of the casualty investigation, when it judges that such information may assist in determining what changes in the present convention		ES, MARINA	Marine Casualties and Incidents Module
	Art. 13(2)	Ratification, acceptance, approval or accession to the Convention	PMP/Circ. and PMP.1/Circ.	MSS, MARINA	
	Art. 14(2)	Acceptance to optional Annexes	PMP/Circ., PMP.1/Circ., PMP.5/Circ. and PMP.6/Circ.	MSS, MARINA	
	Art. 16(9)	Declaration of acceptance or of objection to an amendment	Note Verbale	MSS, MARINA	
	Art.18(2)	Denunciation to the Convention	PMP/Circ. and PMP.1/Circ.	MSS, MARINA	
Protocol II	Art. II(2)	Information regarding arbitration	PMP.8/Circ.	MSS, MARINA	
Protocol 1978	Art. III (Replacement of text of Article 11(1)(b) of	A list of nominated surveyors or recognized organizations which are authorized to act on their behalf in the administration of matters relating to the design, construction, equipment and operation of ships carrying harmful substances and the specific		MSS, MARINA	Recognized Organizations (ROs) Module

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	the Convention)	responsibilities and conditions of the authority delegated to nominated surveyors or recognized organizations			
	Art. IV(2)	Ratification, acceptance, approval or accession to the Protocol	PMP/Circ. and PMP.1/Circ.	MSS, MARINA	
	Art. VI	Declaration of acceptance or of objection to an amendment	Note Verbale	MSS, MARINA	
	Art. VII(2)	Denunciation to the Protocol	PMP.1/Circ.	MSS, MARINA	
Protocol 1997	Art. 4	Declaration of acceptance or of objection to an amendment	Note Verbale	MSS, MARINA	
	Art. 5(2)	Ratification, acceptance, approval or accession to the Protocol	PMP.7/Circ.	MSS, MARINA	
	Art. 7(2)	Denunciation to the Protocol	PMP.7/Circ.	MSS, MARINA	
Annex I	Reg. 3.3	Exemption – particulars and the reasons thereof – as soon as possible, but not more than 90 days thereafter	MEPC.1/Circ.	MSS, MARINA	
	Reg. 5.2	Equivalents – particulars thereof	MEPC.1/Circ.		
	Reg. 6.3.2	The specific responsibilities and conditions of the authority delegated to the nominated surveyors or recognized Organizations		MSS, MARINA	Recognized Organizations (ROs) Module
	Reg. 18.10.3	Segregated ballast tanks – oil tanker delivered on or after 1 June 1982 having special ballast arrangements	MEPC.1/Circ.	MSS, MARINA	
	Reg. 20.8.1 and 20.8.2	Application of para. 5 or 7 of the regulation for category 2 or 3 oil tankers	MEPC.1/Circ.	MSS, MARINA	Condition Assessment Scheme (CAS)

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					Module
	Reg. 21.8.1	Application of para. 5, 6 or 7 of the regulation for oil tankers carrying heavy grade oil	MEPC.1/Circ.	MSS, MARINA	
	Reg. 21.8.2	Application of para. 5 or 6 of the regulation for oil tankers carrying heavy grade oil	MEPC.1 /Circ.	MSS, MARINA	
	Reg. 25.5	Hypothetical outflow of oil – arrangement regarding an installed cargo transfer system	MEPC.1/Circ.	MSS, MARINA	
	Reg. 38.8	All cases where the facilities provided under this regulation are alleged to be inadequate		MSS, MARINA	Port Reception Facilities Module
Annex II	Reg. 4.1.2	A report giving details of the ship or ships concerned, the cargoes certified to carry, the trade in which each ship is engaged and the justification for the relaxation of the application of an amendment	MEPC.1/Circ.	MSS, MARINA	
	Reg. 4.4.5	Particulars of the exemption for a ship whose constructional and operational features are such that ballasting of cargo tanks is not required and cargo tank washing is only required for repair or dry-docking	MEPC.1/Circ.	MSS, MARINA	
	Reg. 5.2	Equivalentents – particulars thereof	MEPC.1/Circ.	MSS, MARINA	
	Reg. 6.3	Details of the substance and the provisional assessment – as soon as possible, but not later than 30 days after the agreement among the Governments for substance not categorized has been reached	MEPC.2/Circ.	MSS, MARINA	
	Reg. 8.2.4	The specific responsibilities and conditions of the authority delegated to the nominated surveyors or recognized organizations		MSS, MARINA	Recognized Organizations (ROs)

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	Reg. 18.5	Any case where facilities required under paragraph 1 or arrangements required under paragraph 3 of this regulation are alleged to be inadequate		PPA	Module Port Reception Facilities Module
Annex IV	Reg. 4.4	The specific responsibilities and conditions of the authority delegated to the nominated surveyors or recognized Organizations		MSS, MARINA	Recognized Organizations (ROs) Module
	Reg. 12.2	All cases where the facilities provided under this regulation are alleged to be inadequate		PPA	Port Reception Facilities Module
Annex V	Reg. 7(2)	All cases where the facilities provided under this Regulation are alleged to be inadequate		PPA	Port Reception Facilities Module
Annex VI	Reg. 4.2	Equivalentents – particulars thereof	MEPC.1/Circ.	MSS, MARINA	
	Reg. 11.4	The action taken for the alleged violation	MEPC.1/Circ.		
	Reg. 15.2	Designated ports or terminals at which VOCs emissions from tankers are regulated	MEPC.1/Circ.		
	Reg. 17.2	Ports and terminals where reception facilities are/are not available to manage and process the substances as refer to in this regulation		PPA	Port Reception Facilities Module
	Reg. 17.3	All cases where the facilities provided under this regulation are unavailable or alleged to be inadequate		PPA	Port Reception

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					Facilities Module
	Reg. 18.1	Availability of compliant-fuel oils in its ports and terminals	MEPC.1/Circ.	PPA	
	Reg. 18.2.5	Evidence of the non-availability of compliant fuel oil	MEPC.1/Circ.	PPA	
	Reg. 18.9.6	All cases where fuel oil suppliers have failed to meet the requirements specified in regulation 14 or 18 of the Annex	MEPC.1/Circ.	PPA	
Res. MEPC.94(46)	Para. 14	Particulars of the Statements of Compliance issued; details of the suspension or withdrawal of the Statements of Compliance issued; and particulars of the ships to which it has declined the issue of a Statement of Compliance and reasons thereof	MEPC.1/Circ.	IACS	Condition Assessment Scheme (CAS) Module
Code for the Construction and Equipment of Ships Carrying Dangerous Chemicals in Bulk (BCH Code)	Para. 1.5.2	Equivalentents – particulars thereof together with a report on the evidence	MEPC.1/Circ.	IACS	
	Para. 1.8	New products – suitable conditions of carriage	MEPC.2/Circ.		